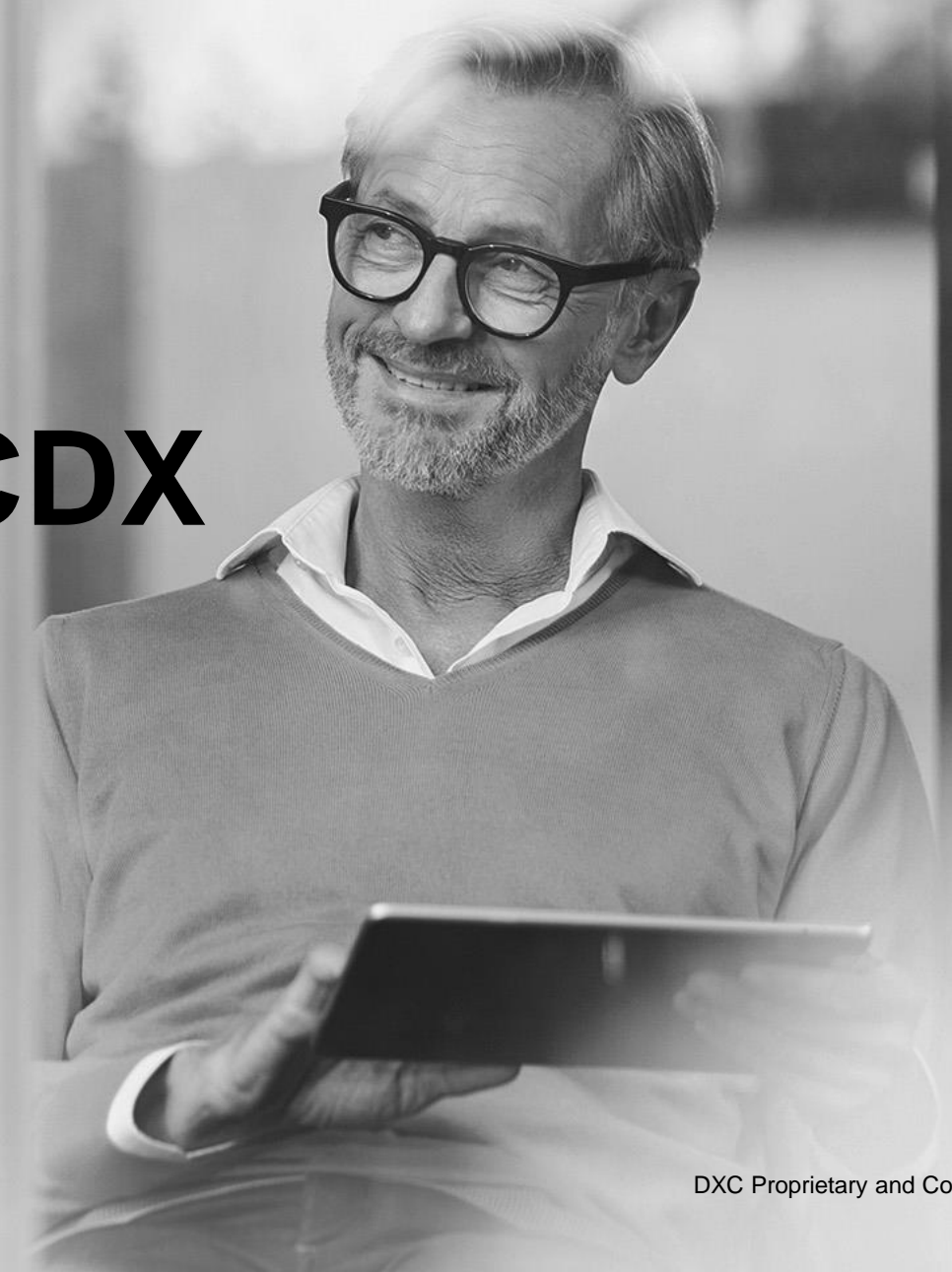


Creating a CMD in CDX

Part 2 – Metals Identification



About this Tutorial



The CDX User Manual contains concise information explaining the CDX system, yet assumes familiarity with the industry principles involved. This tutorial and similar supplemental materials provide in-depth, step-by-step instruction on how to accomplish specific business operations using CDX.

About this Tutorial

The tutorial for Creating a CMD in CDX is divided into multiple parts.

Part 2 is presented here.

Part 1	Part 2	Part 3	Part 4	Part 5	Part 6
<ul style="list-style-type: none">• Introduction• CMD Menus• Initiation	<ul style="list-style-type: none">• Metals Identification	<ul style="list-style-type: none">• Conflict Free Policy	<ul style="list-style-type: none">• Adding Smelters<ul style="list-style-type: none">• From List• New Alleged Smelter	<ul style="list-style-type: none">• Importing Non-CDX Submissions• Review, Accept & Reject Supplier CMDs• Adding Smelters from Suppliers	<ul style="list-style-type: none">• CMD Submission

Details Section – Metals Identification

Metal Identification

- The questions and the number of questions in the Metals identification section vary depending upon the RMI template version selected.
- All answers are required, unless the answer is “No” for Question 1 for a metal, in which case subsequent responses for that metal are not permitted.
- All questions have the same format, are asked for each mineral, and appear in the same order for every question:
 - Tantalum
 - Tin
 - Gold
 - Tungsten
- The individual questions in version 5.11 are discussed on the following pages.
- **Please reference the RMI Conflict Minerals Reporting Template (CMRT) Completion Guide for complete information on how to respond.**

Metals Identification

1. Is any 3TG intentionally added or used in the product(s) or in the production process? If no for all metals, you are done with this survey.

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

2. Does any 3TG remain in the product(s)?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

3. Do any of the smelters in your supply chain source the 3TG from the covered countries?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

4. Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

5. What percentage of relevant suppliers have provided a response to your supply chain survey?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

6. Have you identified all of the smelters supplying the 3TG to your supply chain?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

7. Has all applicable smelter information received by your company been reported in this declaration?
[Link to "CFES Compliant Smelter List"](#)

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

Details Section – Metals Identification

Question 1 – Minerals Intentionally Added

- Select “Yes” or “No” if the mineral is intentionally added to your product (i.e. if it is “necessary to the functionality” of a product), or used in the production process. Optionally enter a comment.
- This question asks if any conflict minerals are used as raw material, component or additive in a product that you manufacture or contract to manufacture (including raw material and component), or used in your production process .
- You must report if there is any amount of 3TG (including .000001%) in your product.
- If there is 3TG in your product or production process, but it is not intentionally added, you should provide an explanation in the “Comment” section.
- If the company has suppliers who produce subcomponents, materials, etc. for products, delay answering “No” until receiving the suppliers’ completed CMDs. Conflict Minerals can be masked in inks, polymer fillers, catalysts, etc.

1. Is any 3TG intentionally added or used in the product(s) or in the production process? If no for all metals, you are done with this survey.

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

Details Section – Metals Identification

Question 2 – Minerals in Product

- Select “Yes” or “No” ” if the mineral used in the product or production process remains in the finished product. This query is intended to identify 3TGs which used in the product or used in the manufacturing process of a product and where some amount of the 3TG remains in the finished product.
- Select “Yes” if the 3TG if the mineral is in the finished product, either intentionally or as a residue from the production process.
- Select “No” if the 3TG used during the production process is completely removed, so that none of the 3TG remains upon completion of that process.
- Consider the following: If 3TG is added anywhere in the supply chain, and remains in the finished product, you’re answer should be “Yes”.

2. Does any 3TG remain in the product(s)?			
No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

Details Section – Metals Identification

Question 3 – Minerals Origins

- Question 3 relates to the sources of Conflict Minerals in your company's products.
- If any portion (greater than zero) of the 3TGs contained in a product originates from the CM region the response is "Yes".
- An answer of "No" conveys that the company can identify the source for all the specified conflict mineral in the supply chain.
- If there is no known use of CM region sourced metal, but the source for all of the metal is not yet known, the correct response is "Unknown".

3. Do any of the smelters in your supply chain source the 3TG from the covered countries?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

Details Section – Metals Identification

Question 4 – Recycled / Scrap Sourcing

- Question 4 again relates to Conflict Minerals sources. Either “Yes” or “No” conveys the company can identify the source for all the specified mineral in the supply chain.
- If any amount of the metal greater than zero is sourced from non-scrap, non-recycled sources, the response should be “No”.
- If there is no known use of non-scrap, non-recycled metal, but the source for all of the metal is not yet known, the correct response is “Unknown”.

4. Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

Details Section – Metals Identification

Question 5 – Supplier Templates

- Question 5 relates to the source of Suppliers Conflict Minerals. An answer of “100%” conveys the company can identify the source for all of the specified supplier-provided conflict mineral in the supply chain.
- If suppliers have provided some responses for each metal, estimate what percentage of suppliers have provided what percentage of sources, and select the corresponding option from the dropdown list.
- If you have not received a declaration from any of your suppliers, you should answer “None”. We recommend an explanation in the comment field if “None” is selected.
- Companies have the discretion to define relevance among their suppliers. Companies should define their relevant suppliers in a manner which addresses the accepted tolerance for compliance of their stakeholders.

5. What percentage of relevant suppliers have provided a response to your supply chain survey?			
No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	
2	Tin	<input type="text"/>	
3	Gold	<input type="text"/>	
4	Tungsten	<input type="text"/>	
6. Have you identified all of the 3TG to your supply chain?			
No.	Metal	Answer	Comments

The image shows a screenshot of a web form for Question 5. The question asks for the percentage of relevant suppliers that have provided a response to a supply chain survey for four metals: Tantalum, Tin, Gold, and Tungsten. A dropdown menu is open for the 'Answer' column, showing options: 100% (highlighted), Greater than 90%, Greater than 75%, Greater than 50%, 50% or less, and None. Below this, Question 6 is partially visible, asking if all 3TG has been identified in the supply chain.

Details Section – Metals Identification

Question 6 – Smelters Identified

- Question 6 relates to the identification of smelters for all Conflict Minerals .
- An answer of “Yes” says the company can identify the smelters for all directly procured and supplier-provided use of the specified conflict mineral in the supply chain.
- If any internal or supplier smelter is not identified, the response should be “No”.

6. Have you identified all of the smelters supplying the 3TG to your supply chain?

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

Details Section – Metals Identification

Question 7 – Conflict Free Smelters

- Question 7 verifies that all of the smelters identified to be providing any of the 3TGs contained in the products covered by the scope of this declaration have been reported in this declaration.
- Answer “Yes” if all applicable smelter information you receive is reported in this declaration.
- Answer “No” if all applicable smelter information you received is NOT reported.

7. Has all applicable smelter information received by your company been reported in this declaration? [Link to "CFS Compliant Smelter List"](#)

No.	Metal	Answer	Comments
1	Tantalum	<input type="text"/>	<input type="text"/>
2	Tin	<input type="text"/>	<input type="text"/>
3	Gold	<input type="text"/>	<input type="text"/>
4	Tungsten	<input type="text"/>	<input type="text"/>

Thank you

Please continue with **Creating a CMD**
in **CDX: Part 3 - Conflict Free Policy**